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(C_{\max})

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LB_3 LB_2 (LB_1) LB_2

New Lower Bounds for the Optimal Makespan on a Single Batch Processing Machine

A. Husseinzadeh Kashan, B. Karimi

ABSTRACT

This paper considers minimizing makespan (C_{\max}) on a single batch-processing machine. A batch-processing machine can process a group of jobs simultaneously, as long as the total size of jobs in the batch does not exceed the machine capacity (B). For each job, we assume a specific job size and job processing time. The processing time of a batch is just the longest processing time of all jobs in the batch. We introduce two new procedures for obtaining lower bounds of the optimal makespan, entitled LB_2 and LB_3 , respectively. We prove that both of the new bounds are tighter than the only existing bound called LB_1 . We also prove that LB_3 is at least as tight as LB_2 .

KEYWORDS : Scheduling, batch-processing machine, lower bounds, makespan

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BPM-CMAX

$l \leq z$

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BPM-CMAX

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C^*

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C^A

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$C_Q^A \quad C_Q^*$

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C_{max}

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BPM-CMAX

y

$$J' = \{y \mid B - s_y < \min_{i \in J} \{s_i\}, y \in J\}$$

J'

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J'

BPM-CMAX

$$.C^* = \sum_{j \in J'} p_j + C_{J \setminus J'}^*$$

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$\sum w_i C_i$
hard

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LB_1



$$C^{NLB^\varepsilon} = \sum_{j \in S(B-\varepsilon, B)} p_j + C_{\bar{S}(\varepsilon, B-\varepsilon)}^{LB_1} \leq$$

$$\sum_{j \in S(B-\varepsilon, B)} p_j + C_{\bar{S}(\varepsilon, B-\varepsilon)}^* = C_{\bar{S}(\varepsilon, B)}^* \quad B/$$

$$C_{\bar{S}(\varepsilon, B)}^* \leq C^* \quad \bar{S}(\varepsilon, B) \subseteq J$$

$$C^{NLB^0} = C^{LB_1} \quad \varepsilon = 0 \quad C^{NLB} \leq C^*$$

$$C^{LB_1} \leq C^{NLB}$$

[B/ , B-ε]

$$C_{\bar{S}(\varepsilon, B-\varepsilon)}^{LB_1} < \sum_{j \in S(B/2, B-\varepsilon)} p_j \quad \varepsilon$$

$$C^{NLB} \quad B-\varepsilon \quad (\varepsilon \leq B/)$$

$$C^{LB_2} = \max_{\varepsilon \in [0, B/2]} \left\{ \sum_{j \in S(B-\varepsilon, B)} p_j + \max \left\{ \sum_{j \in S(B/2, B-\varepsilon)} p_j, C_{\bar{S}(\varepsilon, B-\varepsilon)}^{LB_1} \right\} \right\} \quad \varepsilon$$

$$= \max_{\varepsilon \in [0, B/2]} \left\{ \sum_{j \in S(B/2, B)} p_j + \max \left\{ 0, C_{\bar{S}(\varepsilon, B-\varepsilon)}^{LB_1} - \sum_{j \in S(B/2, B-\varepsilon)} p_j \right\} \right\} \quad (\varepsilon \leq B/)$$

$$= \max \{ C_{S(B/2, B)}^*, \max_{\varepsilon \in [0, B/2]} \{ C^{NLB^\varepsilon} \} \} \quad () \quad [\varepsilon, B-\varepsilon]$$

$$C_{S(B/2, B)}^* = \sum_{j \in S(B/2, B)} p_j \quad NLB \quad S(u, v) = \{ g \mid u < s_g \leq v, g \in J \}$$

$$C^{LB_1} \leq C^{LB_2} \quad C_{\max} \quad \bar{S}(u, v) = \{ g \mid u \leq s_g \leq v, g \in J \}$$

$$NLB \quad NLB$$

$$C^{NLB^\varepsilon} \quad \varepsilon \in [0, B/] \quad \varepsilon \in [0, B/]$$

$$C^{LB_2} \quad O(n^2 \log n) \quad C_{\max}$$

$$C^{LB_2} \quad C^{NLB^\varepsilon} = \sum_{j \in S(B-\varepsilon, B)} p_j + C_{\bar{S}(\varepsilon, B-\varepsilon)}^{LB_1}$$

$$C_{\bar{S}(\varepsilon, B-\varepsilon)}^{LB_1} \quad LB_1$$

$$\bar{S}(\varepsilon, B-\varepsilon)$$

$$C^{LB_3} = \max \left\{ C_{S(B/3, B)}^*, \max_{\varepsilon \in [0, B/3]} \{ C^{NLB^\varepsilon} \} \right\} \quad () \quad \varepsilon$$

$$C_{\max} \quad C_{S(B/3, B)}^* \quad C^{NLB^\varepsilon} \quad C_{\max}$$

$$B/ \quad C^{NLB} = \max_{\varepsilon \in [0, B/2]} \{ C^{NLB^\varepsilon} \}. \quad ()$$

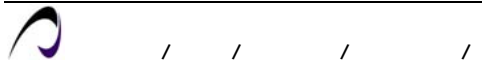
$$C_{\max} \quad C^{NLB}$$

$$C^{NLB} \geq C^{LB_1}$$

$$(B/ , B/] \quad B/ \quad \varepsilon$$

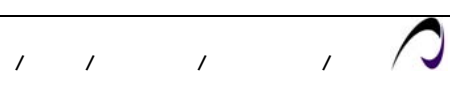
$$[\varepsilon, B-\varepsilon] \quad B-\varepsilon$$

X
 V
 X
 $G_X(V, E)$
 $(B/3, B/2]$
 H
 E
 X
 $j, i \in X$
 $s_i + s_j \leq B$
 $()$
 $C_{S(B/3, B)}^*$
 $C_{S(B/3, B)}^* = \sum_{j \in S(B/2, B)} p_j + C_X^* - \sum_{j \in H} p_j$
 $()$
 $|H|$
 C_{\max}
 C_X^*
 $($
 $X = S(B/3, B/2) \cup H$
 C_X^*
 $()$
 C^{LB_3}
 C^{LB_3}
 $)$
 $|S(B/3, B/2)|$
 X
 X
 H
 G_X
 G_X
 X
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 s_i
 $i \in X$
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 (C_X^*)
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 $O(|X|^3)$
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 $MWMA$
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 $MWMA$
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 $G_X^v(V, E)$
 H
 j, i
 $\min\{p_j, p_i\}$



$$\begin{aligned}
& S(B/2, B-\varepsilon) = S(B/2, B-\varepsilon+\sigma) & G_X^v \\
& \bar{S}(\varepsilon, B-\varepsilon) = S(\varepsilon-\sigma, B-\varepsilon+\sigma) & W(X) \\
& C_{x(\varepsilon-\sigma)}^* - \sum_{j \in h(\varepsilon-\sigma)} p_j & C_{\bar{S}(\varepsilon, B-\varepsilon)}^{LB_1} - \sum_{j \in S(B/2, B-\varepsilon)} p_j \\
& & \vdots \\
& & C_X^* \\
& & X \\
& C_{x(\varepsilon-\sigma)}^* - \sum_{j \in h(\varepsilon-\sigma)} p_j \leq C_X^* - \sum_{j \in H} p_j & \text{BPM-CMAX} \\
& \hat{s} \leq s & B/ \\
& S(s, B/2) \subseteq S(\hat{s}, B/2) & MWMA & O(n^3) \\
& h(s) \subseteq h(\hat{s}) & S(B/2, B-s) \subseteq S(B/2, B-\hat{s}) \\
& MWMA & x(s) \subseteq x(\hat{s}) & C^{LB_3} \quad C^{LB_4} \\
& W(x(s)) & C_{x(s)}^* = \sum_{j \in x(s)} p_j - W(x(s)) \\
& x(s) & G_{x(s)} & C^{LB_3} \geq C^{LB_2} \\
& C_{x(s)}^* - \sum_{j \in h(s)} p_j \leq C_{x(\hat{s})}^* - \sum_{j \in h(\hat{s})} p_j & \varepsilon \in [0, B/2] \\
& W(x(s)) \geq W(x(\hat{s})) & \vdots \\
& \hat{s} = B/2 \quad s = \varepsilon - \sigma & x(s) \subseteq x(\hat{s}) \\
& & \varepsilon = B/2 \quad (\\
& & \vdots \\
& & \varepsilon = B/2 \\
& C^{LB_2^{B/2}} = \sum_{j \in S(B/2, B)} p_j + C_{\bar{S}(B/2, B/2)}^{LB_1} & \varepsilon \in (B/2, B/2) \\
& C^{LB_2^{B/2}} & B/2 \leq s < B/2 & C^{NLB^c} \leq C_{S(B/3, B)}^* \\
& \bar{S}(B/2, B) & S(B/2, B-s) \\
& C^{LB_2^{B/2}} \leq C_{\bar{S}(B/2, B)}^* & h(s) & S(s, B/2) \\
& C_{\bar{S}(B/2, B)}^* \leq C_{S(B/3, B)}^* & \bar{S}(B/2, B) \subseteq S(B/2, B) & H = h(B/2) \\
& \varepsilon = B/2 & x(s) = S(s, B/2) \cup h(s) & \sigma > 0 \quad \varepsilon \in (B/2, B/2) \\
& & \vdots \\
& C_{\bar{S}(\varepsilon, B-\varepsilon)}^{LB_1} - \sum_{j \in S(B/2, B-\varepsilon)} p_j \leq C_{x(\varepsilon-\sigma)}^* - \sum_{j \in h(\varepsilon-\sigma)} p_j & \varepsilon - \sigma > B/2 \quad (\\
& & [\varepsilon - \sigma, \varepsilon) & (\\
& & & (B - \varepsilon, B - \varepsilon + \sigma) \\
& \bar{S}(\varepsilon, B/2) = S(\varepsilon - \sigma, B/2) : & &
\end{aligned}$$

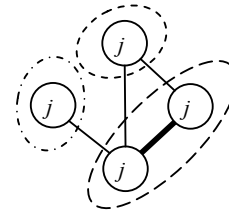
$B = 10; s_1 = 7; s_2 = 5; s_3 = 4; s_4 = 6; s_5 = 5; s_6 = 9; s_7 = 1$
 $p_1 = 10; p_2 = 14; p_3 = 13; p_4 = 1; p_5 = 7; p_6 = 19; p_7 = 6;$
 C^{LB_2}



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$$\begin{aligned} \varepsilon=0 &\Rightarrow C^{NLN^0} = C_{S(0,B)}^{LB_1} = C^{LB_1} = 49 \\ \varepsilon=1 &\Rightarrow C^{NLN^1} = \sum_{j \in S(9,10)} P_j + C_{S(1,9)}^{LB_1} = 0 + 49 \\ \varepsilon=4 &\Rightarrow C^{NLN^4} = \sum_{j \in S(6,10)} P_j + C_{S(4,6)}^{LB_1} = 10 + 19 + 21 = 50 \\ \varepsilon=5 &\Rightarrow C^{NLN^5} = \sum_{j \in S(5,10)} P_j + C_{S(5,5)}^{LB_1} = 1 + 10 + 19 + 14 = 44 \\ C_{S(B/2,B)}^* &= \sum_{j \in S(5,10)} P_j = 30 \\ C^{LB_2} &= \max\{0, 49, 49, 50, 44\} = 50 \end{aligned}$$

$C_{S(B/3,B)}^*$ C^{LB_3}
 $G_X^v(V, E)$



C^{LB_3}
 MWMA

$$\begin{aligned} C_{S(B/3,B)}^* \cdot C_X^* &= (+ + +) = \\ C_{S(B/3,B)}^* &= \sum_{j \in \{j_1, j_4, j_6\}} P_j + C_X^* - \sum_{j \in \{j_4\}} P_j = + = \\ C^{LB_2} &= \max\{ , , \} = : \end{aligned}$$

LB_1 LB_3
 LB_3 LB_2 LB_1
 LB_2
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LB_3		LB_2		LB_1		(n)
()	(%)	()	(%)	()	(%)	
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 (LB)
 $AVG((C^{UB} - C^{LB}) / C^{LB})$
 $AVG(.)$

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¹ Batch processing machine

² Burn-in oven

³ CPLEX

$$C_Q^A / C_Q^* \geq \rho$$

⁵ Stable set

⁶ Clique

⁷ Split graph

⁸ Partitioning with cliques

⁹ Maximum weight matching

$$\rho(A) = \inf\{C_Q^A / C_Q^*, \forall Q\}$$